

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : **Jeffrey K. Lange, Robert H. Paine,
Jeremiah L. Parry-Hill, and
Steven H. Wunrow**

Examiner:
To Be Assigned

Serial No. : **To Be Assigned**

Art Unit:
To Be Assigned

Cnfrm. No. : **To Be Assigned**

Filed : **Herewith**

For : **METHOD AND SYSTEM FOR
PROVIDING AUTOMATED CAPTIONING
FOR AV SIGNALS**



**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97-1.98**

Assistant Commissioner for Patents
Washington, D.C. 20231
Box: Patent Application

Dear Sir:

Pursuant to 37 CFR §§ 1.97-1.98, applicants hereby bring to the attention of the United States Patent and Trademark Office, the enclosed references listed on the attached PTO-1449 form.

Respectfully submitted,

Date: March 6, 2001

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use several sheets if necessary) (PTO-1449)	PRIORITY DOCKET NO. 1819/100121		SERIAL NO. To Be Assigned
	APPLICANT Jeffrey K. Lange, Robert H. Paine, Jeremiah L. Parry-Hill, and Steven H. Wunrow		
	FILING DATE Herewith		GROUP ART UNIT To Be Assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPRO- PRIATE
	1	5,543,851	06 AUG 1996	Chang			
	2	5,677,739	14 OCT 1997	Kirkland			
	3	5,701,161	23 DEC 1997	Williams et al.			
	4	5,737,725	07 APR 1998	Case			
	5	5,774,857	30 JUN 1998	Newlin			
	6	6,076,059	13 JUN 2000	Glickman et al.			
	7	6,166,780	26 DEC 2000	Bray			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS- LATION IF APPRO- PRIATE

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

		8	Abstracts 1-13 [retrieved March 21, 2000]. Retrieved from The Computer Database (CDB), NERAC Inc., Question No. 1065787.002, "Demo: Hearing-Impaired"
		9	Stuckless, "Recognition Means More Than Just Getting the Words Right: Beyond Accuracy to Readability," <u>Speech Technology</u> pgs. 30-35 (October/November 1999)
EXAMINER			DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

JCS97 U.S. PTO
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03/06/01

EXPRESS MAIL CERTIFICATE

DOCKET NO. : 1819/100121
APPLICANTS : Jeffrey K. Lange, Robert H. Paine, Jeremiah L. Parry-Hill, and
Steven H. Wunrow
TITLE : METHOD AND SYSTEM FOR PROVIDING AUTOMATED
CAPTIONING FOR AV SIGNALS


Certificate is attached to the **Information Disclosure Statement (1 page)** and
PTO-1449 Form (1 page) in duplicate with 9 references of the above-named application.

"EXPRESS MAIL" NUMBER: EL709322700US
DATE OF DEPOSIT: March 6, 2001

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Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the
date indicated above and is addressed to the Assistant Commissioner for Patents, **Box:**
Patent Application, Washington, D.C. 20231.

John Campa

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